



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Cheryl Lanier

Serial No.: 09/473,853

Filed: December 27, 1999

For: SYSTEM AND METHOD FOR UTILIZING AN EXCLUSION LIST
DATABASE FOR CASINOS

Attorney Docket No.: FDC 0143 PUS

Group Art Unit: 2768

Examiner: Unknown

RECEIVED
JAN 25 2001
Technology Center 2100

SECOND REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Sir:

Applicants respectfully request issuance of a Corrected Filing Receipt for the above-identified application. Upon Applicants' review of the Official Filing Receipt, an error has been discovered with respect to the following data:

Filing Date: "12/27/1999" should be --12/29/1999--.

A copy of the Official Filing Receipt with the changes noted in red thereon is enclosed. A copy of the Utility Patent Application Transmittal is enclosed as proof of the

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

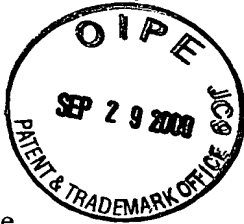
I hereby certify that this paper, including all enclosures referred to herein, is being deposited with the United States Postal Service as first-class mail, postage pre-paid, in an envelope addressed to: Assistant Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231:

September 25, 2000
Date of Deposit

Mark E. Stuenkel
Name of Person Signing


Signature

S/N: 09/473,853



correct filing date.

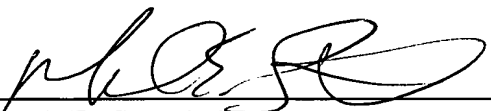
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Atty Dkt No. FDC 0143 PUS

If there are any questions concerning this communication, please feel free to contact the undersigned.

Respectfully submitted,

CHERYL LANIER

By 
Mark E. Stuenkel
Reg. No. 44,364
Attorney for Applicants

Date: September 25, 2000

BROOKS & KUSHMAN P.C.
1000 Town Center, 22nd Floor
Southfield, MI 48075
Phone: (248) 358-4400
Fax: (248) 358-3351



UTILITY PATENT APPLICATION TRANSMITTAL

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Address to: Box PATENT APPLICATION Assistant Commissioner for Patents Washington, DC 20231	Attorney Docket No. FDC 0143 PUS
	Inventor(s) or Application Identifier: Cheryl Lanier et al.

1. This application entitled A SYSTEM AND METHOD FOR UTILIZING AN EXCLUSION LIST DATABASE FOR CASINOS is:

- a. ☒ A new application under 37 C.F.R. § 1.53(b).
- b. ☐ A ☐ continuation ☐ divisional or ☐ continuation-in-part application under 37 C.F.R. § 1.53(b) of prior application Serial No. / filed on entitled .

Application elements and other attached papers:

2. ☒ Specification (incl. Claims and Abstract) [Total Pages 12]
3. ☒ Drawings (☐ informal 1 formal) [Total Sheets 1]
4. ☐ Oath or Declaration
- a. ☐ Newly-executed
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
5. ☐ Incorporation By Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Item 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 C.F.R. § 1.53(d)(4).
- a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:
- b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.

CERTIFICATION UNDER 37 C.F.R. § 1.10

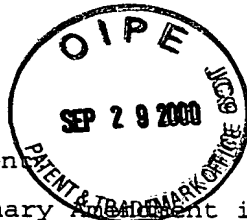
I hereby certify that this UTILITY PATENT APPLICATION TRANSMITTAL and the documents referred to as attached therein are being deposited on the below date with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Express
Mail Label No. EL 450 454 040 US

Date of Deposit: December 29, 1999

Angelika Phillips
(Type or print name of person mailing paper)

Angelika Phillips
(Signature of person mailing paper)



7. Preliminary Amendment

- a. ☐ A Preliminary Amendment is attached.
- b. ☐ Cancel in this application original claims _____ of the prior application before calculating the filing fee.
- c. ☐ Please amend the specification by inserting before the first line the sentence:
- "This is a
- ☐ continuation
- ☐ divisional
- of copending application(s)
- Serial number _____ / _____ filed on _____."
- d. ☐ A Petition to Suspend Prosecution For The Time Necessary to File An Amendment (New Application Filed Concurrently) is attached.

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8. Small entity status:

- a. ☐ A small entity statement is attached.
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ Is no longer desired.

9. Fee Calculation:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS (37 C.F.R. § 1.16(c))	12 -20 =	--	X 18.00	--
INDEPENDENT CLAIMS (37 C.F.R. § 1.16(b))	3 -3 =	--	X 78.00	--
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 C.F.R. §1.16(d))			260.00	--
			BASIC FEE (37 C.F.R. § 1.16(a))	690.00
Total of above Calculations =				690.00
Reduction by 50% for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28)				--
Assignment Recordal Fee			40.00	--
TOTAL =				690.00

10. ☒ A check in the amount of \$ 690.00 is enclosed.
11. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees (or any deficiency therein) to Deposit Account No. 02-3978:
- a. ☒ Fees required under 37 C.F.R. § 1.16.
- b. ☒ Fees required under 37 C.F.R. § 1.17.

12. Maintenance of Copendency of Prior Application

☐ A request for extension of time and the appropriate fee have been filed in the pending **prior** application (or are being filed in the prior application concurrently herewith) to extend the period for response until _____.

13. ☐ An Information Disclosure Statement (IDS) is attached, along with the following indicated attachments thereto:

a. ☐ Form PTO/SB/08 (_____ sheet(s))

b. ☐ Copies of references cited

14. ☐ Certified copy of priority document(s)

15. ☒ Return Receipt Postcard

16. ☐ Other: _____

17. ☐ An Assignment of the invention to _____

a. ☐ is attached.

b. ☐ was recorded on _____ at Reel _____, Frame _____.

18. The power of attorney in the prior application is to:

Name of Attorney of Record	Reg. No.
----------------------------	----------

☐ The power appears in the original papers in the prior application.

☐ The power does not appear in the original papers, but was filed on _____.

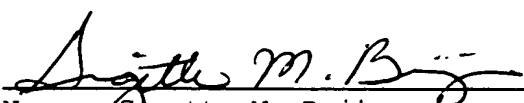
☐ A new power has been executed and is attached.

19. Correspondence Address: Please address all future communications to:

Gigette M. Bejin,
 Brooks & Kushman P.C.,
 1000 Town Center, 22nd Fl.
 Southfield, MI 48075-1351
 Telephone: 248-358-4400; Fax: 248-358-3351

Respectfully submitted,

Date December 27, 1999


 Name: Gigette M. Bejin
 Registration No.: 44,027

☐ Attorney or agent of record
☒ Filed under Rule 34(a)

FILING RECEIPT



OC000000005056960

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/473,853	12/27/1999 12/29/1999	2768	890	FDC-0143-PUS	1	12	3

GIGETTE M BEJIN
BROOKS & KUSHMAN PC
1000 TOWN CENTER
22ND FLOOR
SOUTHFIELD, MI 480751351RECEIVED
JAN 25 2001
Technology Center 2100

Date Mailed: 04/17/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

CHERYL LANIER, RICHMOND, TX ;
LARRY P. DAVIS, POURTSMOUTH, RI ;
JAMES P. SULLIVAN, MT. PROSPECT, IL ;
MELANIE SCHMUTZ-NUGENT, HUMBLE, TX ;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 02/05/2000

**

Title

SYSTEM AND METHOD FOR UTILIZING AN EXCLUSION LIST DATABASE FOR CASINOS

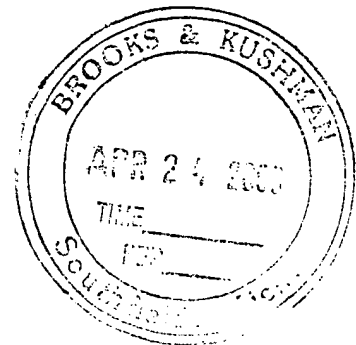
Preliminary Class

705

Data entry by : HAYWOOD, CHERRIE

Team : OIPE

Date: 04/17/2000





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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



Bib Data Sheet

#5

SERIAL NUMBER 09/473,853	FILING DATE 12/29/1999 RULE -	CLASS 705	GROUP ART UNIT 2768	ATTORNEY DOCKET NO. FDC-0143-PUS
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APPLICANTS

CHERYL LANIER, RICHMOND, TX ;
LARRY P. DAVIS, POURTSMOUTH, RI ;
JAMES P. SULLIVAN, MT. PROSPECT, IL ;
MELANIE SCHMUTZ-NUGENT, HUMBLE, TX ;

** CONTINUING DATA *****

** FOREIGN APPLICATIONS *****

} Verified
CEX
1/25/02

IF REQUIRED, FOREIGN FILING LICENSE

GRANTED ** 02/05/2000

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY TX	SHEETS DRAWING 1	TOTAL CLAIMS 12	INDEPENDENT CLAIMS 3
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature: <u>CEX</u> Initials: <u>CEX</u>				

ADDRESS

GIGETTE M BEJIN
BROOKS & KUSHMAN PC
1000 TOWN CENTER
22ND FLOOR
SOUTHFIELD ,MI 480751351

TITLE

SYSTEM AND METHOD FOR UTILIZING AN EXCLUSION LIST DATABASE FOR CASINOS

FILING FEE RECEIVED 890	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees (Filing)
		<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)
		<input type="checkbox"/> 1.18 Fees (Issue)
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit